





1.4 Applicant's Business Activity/ies

- ☐ a. Newly organized for the purpose of providing retail electricity service.  
☐ b. Existing organization engaged in an activity in the electricity sector.  
(Specify existing business activity/ies) \_\_\_\_\_

1.5 Scope of Operations

a. Description of the activities of Applicant

List of distribution utility franchise area in which Applicant intends to provide service or solicit customers:

\_\_\_\_\_

b. Target area which Applicant intends to serve

☐ Luzon ☐ Visayas ☐ Others

c. Start date by which the Applicant proposes to begin providing retail electricity services. \_\_\_\_\_

**PART 2. ORGANIZATIONAL, TECHNICAL & MANAGERIAL REQUIREMENTS**

2.1 Provide evidence of the technical and managerial capability to ensure reliable electricity supply in accordance with its customer contracts, such as but not limited to the following:

a) Ability and knowledge to operate and manage the electricity supply business

\_\_\_\_\_

b) Ability to set-up and operate within the customer switching system approved by ERC and the capability to comply with all applicable customer registration, settlement policies and market rules and protocols.

\_\_\_\_\_

c) Ability to maintain appropriate billing and collection system for its customers.

\_\_\_\_\_

d) Ability to maintain a website which provides updated information such as average prices, value-added services, and any other information of RES.

\_\_\_\_\_



- e) Technical ability to enter into any necessary access or interconnection arrangements or other required contracts with NGCP, the Market Operator and one or more Distribution Utilities.
- 

- f) Adequate staffing and employee training to meet all service level commitments.
- 

2.2 5-Year Source of Supply. Please specify \_\_\_\_\_

### **PART 3. CREDIT & FINANCIAL REQUIREMENTS**

3.1 Provide evidence that the Applicant meets any of the following credit standards:

- ☐ Investment grade credit rating by a reputable credit bureau; or
- ☐ Unused cash resources amounting to the expected monthly billings or PhP5Million, whichever is higher, with an attached:
- Proof of Creditworthiness confirmed through the certification of companies (including GenCo, NGCP, DU) that have extended credit line to the Applicant; or
  - If the Applicant is an affiliate/subsidiary and has yet to commence operations as an RES, a Sworn Statement from parent company that it shall infuse the required working capital with supporting documents regarding the its capability to support the Applicant

3.2 Sources of Capital

- |  |                                      |
|--|--------------------------------------|
| <input type="checkbox"/> Equity                          | <input type="checkbox"/> Credit Line |
| <input type="checkbox"/> Borrowings. Pls. specify source | <input type="checkbox"/> Others      |

### **PART 4. SECURITY DEPOSIT REQUIREMENTS**

Applicant intends to collect deposits from customers.  
Applicant does not intend to collect deposits from customers.



**VERIFICATION AND CERTIFICATION**

REPUBLIC OF THE PHILIPPINES)  
\_\_\_\_\_ CITY \_\_\_\_\_ ) S.S.

I, \_\_\_\_\_, of legal age, with office  
address at \_\_\_\_\_, after having been sworn to in accordance with  
law, hereby depose and say:

That I am the \_\_\_\_\_ of the \_\_\_\_\_;

I swear or affirm that I have personal knowledge of the facts stated in the  
attached application, that I am competent to testify to them, and that I have the  
authority to submit this application for on behalf of the applying party.

I further swear or affirm that all statements made in the application form are  
true, correct and complete and that any substantial changes in such information will  
be provided to the Energy Regulatory Commission in a timely manner.

I swear or affirm that the applying party understands and will comply with all  
requirements of the applicable laws and rules, including any required submission  
of additional information by sworn affidavit prior to the provision of electric service.

\_\_\_\_\_  
Signature of Authorized Representative

SUBSCRIBED AND SWORN to before me this \_\_\_\_ day of \_\_\_\_\_.

Notary Public

Doc. No. \_\_\_\_\_ ;  
Book No. \_\_\_\_\_ ;  
Page No. \_\_\_\_\_ ;  
Series of \_\_\_\_\_ .