

CHECKLIST OF REQUIREMENTS
AUTHORITY TO DEVELOP AND OWN OR OPERATE DEDICATED
POINT-TO-POINT LIMITED FACILITIES

1. Certification issued by the ERC indicating that the subject application has undergone/completed the Initial Review process
2. Project Rationale explaining the reason why the Applicant opted to develop, own, and/or operate a dedicated point-to-point facility
3. Description of the project (should include details on whether the project will be done in stages i.e. interim and final connection)
4. Options/Alternatives considered in lieu of the proposed project(s) that are technically and economically feasible, with corresponding analyses of advantages/disadvantages of each option, if applicable
5. Detailed Single Line Diagram (SLD)
6. Conceptual Engineering design and drawings
7. Detailed estimated cost for the projects that are yet to be constructed or detailed actual cost for the projects that are already constructed prior to the submission of the application. The detailed estimated cost and the detailed actual cost must reflect the cost of the major components of the project including, but not limited to:
 - a. Substation and its associated accessories;
 - i. Power Transformer/s
 - ii. Instrument Transformer/s
 - iii. Protective Equipment
 - iv. Gantry Structure/s
 - v. Revenue Metering Facility
 - vi. Telecommunication Equipment
 - b. Switchyard and its associated accessories
 - i. Protective Equipment
 - ii. Gantry Structure/s
 - iii. Revenue Metering Facility
 - iv. Telecommunication Equipment
 - c. Distribution Line/s and its associated accessories
 - i. Cables and/or Conductors
 - ii. Poles/Towers/Underground Raceway Trays
 - iii. Protective Equipment

- d. Other Requirements
 - i. Grounding materials
 - ii. Civil works
 - iii. Installation, wiring, testing, and commissioning
- 8. Gantt chart schedule of the proposed projects and verified certification showing project implementation status
- 9. Relevant dates which must reflect the target dates and actual dates for the following: (see attached Annex "A" for reference)
 - a. Start of the construction of the Power Plant and the Interconnection Facility
 - b. Completion of the construction of the Power Plant and the Interconnection Facility
 - c. Testing and Commissioning
 - d. Commercial Operation
- 10. Name/s and company profiles of the contractor/s to be involved in the construction of the dedicated point-to-point limited facilities indicating various major relevant project/s undertaken by the contractor/s for specific clients and its period of implementation
- 11. Actual historical and forecasted Demand-Supply Scenario
- 12. Connection Agreement, if applicable
- 13. Board resolution or secretary's certificate or sworn certificate approving the proposed projects
- 14. Sworn statement / Manifestation from the authorized representative of the Regulated Entity that an application for a permit/license/ or certificate from the concerned government agency has been filed. The proof of filing (i.e., receipt, claim form, etc.) shall be attached to the sworn statement/Manifestation. (Example: Environmental Compliance Certificate or Certificate of Non-Coverage) (specify the date of filing and furnish ERC a copy within five (5) days upon filing)
- 15. Environmental Compliance Certificate (for Power Transmission line and Substation/Switchyard with total power generating capacity greater than 138 kV and 220 kV respectively) or Certificate of Non-Coverage. For power transmission line and Substation/Switchyard with total power generating capacity less than or equal to 138 kV and 220 kV, respectively)
- 16. Certificate of Registration (COR) from the Department of Energy (DOE) (applicable for renewable energy projects)

17. For Geothermal, Hydroelectric, Ocean, and Wind Energy Projects, RE Service Contract between the Plant and the DOE; For Solar and Biomass Energy Projects, RE Operating Contract between the Plant and DOE
18. Other relevant certifications from the DOE, such as, but not limited to Certificate of Energy Project of National Significance for Commercial Phase, Certificate of Confirmation of Commerciality (CoCoC), Certificate of Endorsement (COE), and COE-FIT for FIT eligible plants, if applicable
19. Other required data:
 - a. General Documents
 - i. Key Management Officials

The list of names, titles, and responsibilities of all key management officials as submitted to the Securities and Exchange Commission (SEC), and the Philippine Stock Exchange (PSE). In the absence of the foregoing, the list of names, titles, and responsibilities of major stockholders and officers of the applicant shall be submitted.
 - ii. Corporate or Partnership Documents
 - iii. If the Generating Company applying for a Point-to-Point is conducting business through sole proprietorship, Department of Trade and Industry (DTI) Business Registration;
 - b. Technical Documents/Information (Applicable ONLY if the applicant will be the one to Operate and Maintain the Dedicated Point-To-Point Limited Facility)
 - i. Proof of technical capability to operate limited transmission facilities
 - ii. The list of names and addresses of personnel responsible for the design, installation, maintenance, and repair of equipment for the proposed system; and
 - iii. Statement of Compliance and Compliance Plan to the PGC or PDC

A. Transmission Facilities

1. For power plants that are still being constructed, a certification from the MOS-LMIMD that the Generation Company has a pending application for issuance of a Certificate of Compliance (COC). For power plants that completed the Provisional Authority to Operate (PAO) or COC issued by the ERC, if applicable
2. Copy of the portion of the Transmission Development Plant (TDP) showing the power plant project
3. System Impact Study (SIS), (commercial software load flow simulation and interpretation of results showing the electrical effects of the proposed project/s such as power transformer

loadings, voltage drop, power quality, system loss, frequency, harmonics, load / phase balancing etc.)

4. For SIS conducted by a Third Party, review report on the SIS of the Transmission Network Provider (TNP)
5. Facilities Study (FS)
6. For FS conducted by a Third Party, review report on the FS of the TNP

B. Distribution Facilities

1. Copy of the portion of the Distribution Development Plan (DDP) or Transmission Development Plan (TDP) showing the power plant project
2. Distribution Impact Study (DIS) (commercial software load flow simulation and interpretation of results showing the electrical effects of the proposed project/s such as power transformer loadings, voltage drop, power quality, system loss, frequency, harmonics, load/phase balancing, etc.)
3. For DIS conducted by a Third Party, review report on the DIS of the Distribution Utility
4. Distribution Asset Study (DAS)
5. For DAS conducted by a Third Party, review report on the DAS of the Distribution Utility
6. For Large Embedded Generation Plants, certification from the Transmission Network Provider (TNP) that the same has been informed by the Distribution Utility about the connection of the Plant, in compliance with Philippine Distribution Code (PDC)4-3-3.7
7. For power plants that are still being constructed, proof that the Generation Company has a pending application for issuance of Certificate of Compliance (COC). For power plants that completed its testing and commissioning, the copy of the Provisional Authority to Operate (PAO) or COC issued by the ERC, if applicable